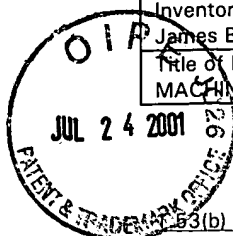


Sector 1/11  
#3

TRANSMITTAL LETTER			
Serial No. 09/779,903	Filing Date February 8, 2001	Examiner Not Assigned	Case No. 10711/4 Group Art Unit 2876
Inventor(s) James Brunner			
Title of Invention MACHINE AND PROCESS FOR MANUFACTURING A LABEL WITH A SECURITY ELEMENT			



TO THE COMMISSIONER FOR PATENTS

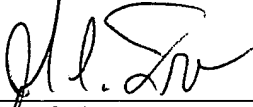
Transmitted herewith is Copy of Notice To File Missing Parts Of Nonprovisional Application - Filed Under 37 CFR 1.53(b) - Filing Date Granted; Declaration For Patent Application; copy of Power Of Attorney; Submission Of Substitute Drawings - with six (6) substitute drawings, and post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☒ A statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a two (2) month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	
Indep.		Minus			x \$40 =		x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =		+ \$270 =	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$817.00 to cover the filing fee of \$355.00, forty-four (44) extra total claims of \$397.00, and surcharge of \$65.00 is enclosed.
- ☒ A check in the amount of \$195.00 to cover the extension fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 John C. Freeman  
 Registration No. 34,483  
 Attorney for Applicant

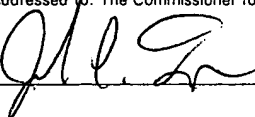
BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: The Commissioner for Patents, Washington, D.C. 20231, on July 19, 2001.

Date:

July 19, 2001

Signature:



#3



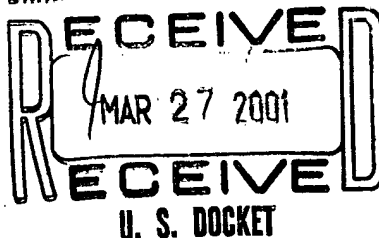
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20531  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/779,903	02/08/2001	James Brunner	10711/4

JOHN C. FREEMAN  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610

BRINKS HOFER GILSON &amp; LIONE



CONFIRMATION NO. 6597

FORMALITIES LETTER



\*OC000000005873429\*

Date Mailed: 03/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$792.
  - \$792 for 44 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1632.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/26/2001 RHARIS1 00000036 09779903

02 FC:201	355.00 OP
03 FC:203	396.00 OP
04 FC:205	65.00 OP
05 FC:998	1.00 OP

*A copy of this notice MUST be returned with the reply.*

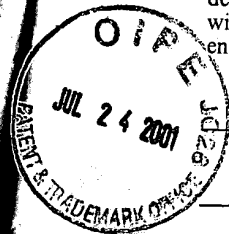
  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/05/2012 10:20:00

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I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

The Commissioner for Patents  
Washington, D.C. 20231

on July 19, 2001

Date of Deposit

John C. Freeman

Name of applicant, assignee or  
Registered Representative

*John C. Freeman*

Signature

*July 19, 2001*

Date of Signature

Case No. 10711/4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

James Brunner

Serial No: 09/779,903

Examiner: Not Assigned

Filed: February 8, 2001

Group Art Unit: 2876

For: MACHINE AND PROCESS FOR  
MANUFACTURING A LABEL  
WITH A SECURITY ELEMENT

**STATEMENT CLAIMING SMALL ENTITY STATUS**

The Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The undersigned hereby asserts that the above-mentioned application is assigned to James Brunner, who qualifies for small entity status as being the sole inventor.

Respectfully submitted,

*John C. Freeman*

John C. Freeman  
Registration No. 34,483  
Attorney for Applicant

Dated: July 19, 2001

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200